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PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/843,254 Filing Date 4/24/2001 **TRANSMITTAL** First Named Inventor Mehmet Kivanc Mihcak **FORM** Group Art Unit 2132 for all correspondence after initial filing) Examiner Name LINH L D SON Attorney Docket Number MS1.0645US Total Number of Pages in This Submission ENCLOSURES (check all that apply) X Fee Transmittal Form Drawing(s) After Allowance Communication to Group Fee Attached Licensing-related Papers Appeal Communication to Board Petition of Appeals and Interferences Amendment / Reply Petition to Convert to a Appeal Communication to Group After Final Provisional Application (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Power of Attorney, Revocation Proprietary Information Change of Correspondence Extension of Time Request Status Letter Address **Express Abandonment Request** Other Enclosure(s) (please Terminal Disclaimer Information Disclosure Statement identify below): Request for Refund Form 1449, 20 References, Certified Copy of Priority CD, Number of CD(s) Return Receipt Postcard **Documents** Response to Missing Parts/ Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Christie/Re/g. Individual Name Signature Date **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name **Cheryl Boies** Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known Fees pursuant to the Consolidate Act, 2005 (H.R. 4818). **Application Number** 09/843,254 TRANSM 4/24/2001 Filing Date For FY 2005 Mehmet Kivanc Mihcak First Named Inventor LINH L D SON **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2132 (\$) 180.00TOTAL AMOUNT OF PAYMENT MS1 0645US Attorney Docket No. METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): 12-0769 Lee & Hayes, PLLC ✓ Deposit Account Deposit Account Number:\_ Deposit Account Name For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) ✓ Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES EXAMINATION FEES **Small Entity Small Entity Small Entity Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 200 500 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 150 160 80 Reissue 300 150 500 600 250 300 Provisional 200 100 0 0 0 n 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 360 180 **Total Claims** Fee Paid (\$) **Multiple Dependent Claims** Extra Claims Fee (\$) - 20 or HP = 50 Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Fee (\$) Indep. Claims **Extra Claims** Fee Paid (\$) - 3 or HP = 200 HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). **Total Sheets Extra Sheets** Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) (round up to a whole number) x / 50 = 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification. \$1B0 fee (no small entity discount) Other: Information Disclosure Statement 180.00 SUBMITTED BY Registration No. Telephone (509) 324-9256 Signature 40559

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Kasey C Christie

Name (Print/Type)

(Attorney/Agent)



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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No	09/843 254
Filing Date	4/24/2001
Confirmation No.	
Inventorship	Mehmet Kivanc Mihcak
Applicant	Microsoft Corporation
Group Art Unit	2132
Examiner	
Attorney's Docket No	MS1-0645US
Title: Recognizer of Audio-Content in Digital Signals	

### INFORMATION DISCLOSURE STATEMENT

#### References -- See Attached Form PTO-1449

To: Commissioner for Patents PO Box 1450

Alexandria, VA 22313-1450

From: Kasey C. Christie (Tel. 509-324-9256; Fax 509-323-8979)

Lee & Hayes, PLLC

421 W. Riverside Avenue, Suite 500

Spokane, WA 99201

The attached form PTO-1449 is submitted in compliance with Applicant's duty of disclosure under 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 as set forth in 37 CFR §1.17(p).

Dated: 9-1-05

Kasey C. Christie Reg. No. 40559

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	09/843,254	
Filing Date	4/24/2001	
First Named Inventor	Mehmet Kivanc Mihcak	
Art Unit	2132	
Examiner Name	LINH L'D SON	
Attorney Docket Number	MS1 0645US	

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 20020126872	9/12/2002	Brunk, Hugh L.	
		<sup>US-</sup> 5802518	9/01/1998	Karaev et al.	***
		us- 6522767	2/18/2003	Moskowitz et al.	
		<sup>US-</sup> 6532541	3/11/2003	Chang, et al.	
		<sup>US-</sup> 6625295	9/23/2003	Wolfgang, et al.	
		<sup>US-</sup> 6647128	11/11/2003	Rhoads	
		<sup>US-</sup> 6674861	1/6/2004	Xu, et al.	
		<sup>US-</sup> 6701014	3/2/2004	Syeda-Mahmood	
		<sup>US-</sup> 6768809	7/27/2004	Rhoads, et al.	
		<sup>US-</sup> 6799158	9/28/2004	Fischer, et al.	
		<sup>US-</sup> 6839673	1/4/2005	Choi, et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	7 ppilotin of onot bostinein	Or Relevant Figures Appear	Т
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Examiner	Date	
Signature	Considered	
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Sheet

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Application Number	09/843,254	
Filing Date	4/24/2001	
First Named Inventor	Mehmet Kivanc Mihcak	
Art Unit	2132	
Examiner Name	LINH L D SON	
Attorney Docket Number	MS1 0645US	

<del></del> .	1 6::	NON PATENT LITERATURE DOCUMENTS	1
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Lin et al., "A Robust Image Authentication Method Distinguishing JPEG Compression from Malicious Manipulation", IEEE Transactions, Feb. 2001, Volume 11, No. 2, pp. 153-168	
		Schneider et al., "A Robust Content Based Digital Signature for Image Authentication", Proceedings, International Conference, Sept. 1996, Vol. 3, pp. 227-230	
		M. K. Mihçak and R. Venkatesan, "A tool for robust audio information hiding: A perceptual audio hashing algorithm," submitted to Workshop on Information Hiding, Pittsburgh, PA, 2001.	
		T. Kalker and J. Haitsma, "Efficient detection of a spatial spread-spectrum watermark in MPEG video streams," Proc. IEEE ICIP, Vancouver, Canada, September 2000.	
		I. J. Cox, J. Killian, T. Leighton, and T. Shamoon, "A secure robust watermark for multimedia," Information Hiding Workshop, University of Cambridge, pp. 185-206, 1996.	
		J. Dittman, M. Stabenau and R. Steinmetz, "Robust MPEG video watermarking technologies," Proceedings of ACM Multimedia '98, The 6th ACM International Multimedia Conference, Bristol, England, pp. 71-80.	
		M. D. Swanson, B. Zhu and B. Chau, "Object based transparent video watermarking," Proceedings of IEEE Signal Processing Society 1997 Workshop on Multimedia Signal Processing, June 23 - 25, 1997, Princeton, New Jersey, USA.	
		F. A. P. Petitcolas and R. J. Anderson, "Evaluation of copyright marking systems," Proceedings of IEEE Multimedia Systems'99, vol. 1, pp. 574-579, 7-11 June 1999, Florence, Italy.	
		B. Chen and G. W. Wornell, "Digital watermarking and information embedding using dither modulation," Proc. IEEE Workshop on Multimedia Signal Processing, Redondo Beach, CA, pp. 273-278, Dec. 1998.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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